

VT Department of Corrections:

SFI-Designation Report 3/31/2021

This report contains point-in-time analyses that are up-to-date as of 10pm on the date listed. The analyses provided in this report contain in-depth breakdowns by SFI-Designation across the following reports:

- Population Report
- Violent Crime Report
- Minimum Release Report

Serious Functional Impairment (SFI):

Serious Functional Impairment (SFI) is defined as:

- a) A substantial disorder of thought, mood, perception, orientation, or memory, any of as diagnosed by a qualified mental health professional, which grossly substantially impairs judgment, behavior, capacity to recognize reality, or ability to meet the ordinary demands of life, and which substantially impairs the ability to function within the correctional setting; or
- b) a developmental disability, traumatic brain injury or other organic brain disorder, or various forms of dementia or other neurological disorder as diagnosed by a qualified mental health professional, which substantially impairs the ability to function in the correctional setting.

An individual can be designated as SFI through two distinct processes:

- 1) Designation by a qualified mental health professional as a result of a clinical and functional assessment ("DOC Designation"); or
- 2) Designation as a result of an administrative process which confirms the offender was receiving developmental services (community rehabilitation and treatment, developmental disability, traumatic brain injury, and/or cognitive-functional composite) upon entering DOC custody through verifying/validating the incarcerated individual' pre-existing and active community-based waiver(s): ("Community Waiver(s)").

Individuals with an SFI-designation have been designated at some point in the month listed above. This DOES NOT mean they had this designation throughout the entire month. In this report, SFI-designation is either denoted as "yes" if the individual had an SFI-designation at any point in the month reported or "no" if they individual did not have an SFI-designation this month.

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Current Race Data:

In this report, race data are categorized as follows:

- American Indian/Alaskan Native: "AmerIndian/ AlaskanNative"
- Asian/ Pacific Islander: "Asian/ Paclslander"
- Black or African American: "Black"
- White: "White"
- Some Other Race/ Unknown: "Other/ Unknown"

Some race categories are combined due to the low number of individuals who identify with these races. In some instances, individuals who identify as American Indian/Alaskan Native, Asian, Black or African American, and/or Pacific Islander were combined and indicated by the acronym "BIPOC", which means Black, Indigenous, and people of color. Some Other Race and Unknown were collapsed as neither provide information of the race with which the individual identifies.

Future Race Data:

Incarcerated individuals' race are collected at booking and stored in the Offender Management System. Beginning August 5th, 2020, the process for collecting race changed to assure more accurate reporting. With this change, race options improved and now includes definitions of each race option, with the potential for selecting multiple races. Additionally, the procedure for acquiring race information from the individual now reflects the race with which the individual identifies. Finally, Hispanic, Latino, or Spanish Origin is captured with a separate yes/no identification. Both Race and Hispanic, Latino, or Spanish Origin will require a selection. These new data will become available once these changes are fully implemented and utilized.

Gender Data:

In this report, gender data are categorized as follows:

- Cisgender Female: Sex female, identifies female ("Female")
- Cisgender Male: Sex male, identifies male ("Male")
- Transgender Female: Sex male, identifies female ("Transgender")
- Transgender Male: Sex female, identifies male ("Transgender")
- Intersex: A term referring to people who have physical markers that differ from the medical definitions of male or female ("Intersex")
- Other: Individuals who do not identify with any of the above gender categories ("Other")

NOTE: Transgender Female, Transgender Male, and Other were combined into one category: "Transgender/Other" for this report due to low numbers of individuals who identify with these genders. For more information regarding Gender Identification, Care, and Custody for all Vermont incarcerated individuals (in-state and out-of-state), see [DOC Directive 423.01: Gender Identification, Care, and Custody \(hyperlinked here\)](#).

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Booking Statuses:

The following list describes the booking status for individuals incarcerated in VT correctional facilities (either in-state or out-of-state). Booking Status indicates the individual's circumstance relative to their court case.

Sentenced Statuses:

- Sentenced: convicted of crime(s)

-Sentenced/Detained: convicted of crime(s) and held pre-trial for other crime(s)

-Sentenced W/Hold: convicted of crime(s) and held for US Marshals or other jurisdiction

-Sentenced/Detained W/Hold: (rare) convicted of crime(s), held pre-trial for other crime(s), and held for US Marshals or other jurisdiction

Detained Statuses:

-Detained: awaiting conviction for crime(s)

-Detained W/Hold: awaiting conviction for crime(s) and held for US Marshals or other jurisdiction

Hold Status:

Hold: being held for US Marshals or other jurisdiction

NOTE: Individuals in each booking status are considered in the custody of the Commissioner of Corrections. The Commissioner has authority to make release decisions for incarcerated individuals with a "sentenced only" booking status consistent with the law and taking public safety into consideration. All other booking statuses require action by another authority (e.g. the court), to release a person from incarceration.

Releasing Individuals from Incarceration:

Convicted individuals are sentenced to serve a minimum and maximum term by the court for each crime they commit. Individuals may be housed past their minimum release date but must be released by their maximum release date. Individuals with a "sentenced only" booking status (without detained, hold status) are eligible for release by the authority of the Commissioner of Corrections. All other booking statuses require action by another authority (such as the court) for release. See below for explanations of why an individual might be released from incarceration and the booking status(es) to which this reason might apply.

Bail Paid: Released from incarceration due to paying required bail amount (Detained status)

Court Order: Released from incarceration by authority of the court (Detained status)

Reached Maximum Release Date: Reached maximum release date and is released into the community, no longer under DOC supervision (Sentenced & Detained statuses)

Released to Community Supervision: Released from incarceration, but still supervised by the DOC via a probation & parole office (Sentenced & Detained statuses)

Released to US Marshals/Other State: Released to US Marshals/other authority (Hold Status)

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Crime Types

Incarcerated individuals are classified into different Crime Types based on the most serious crime for which they are sentenced, detained, or held. For example, 01:Fel./Serious. The number (e.g., 01) indicates the severity of the crime ranked from 01-11 from most severe to least severe. Next, the Crime Type displays whether the most serious crime was a felony (Fel.) or misdemeanor (Misd.). Finally, the category of crime is provided (e.g., Serious). See below for examples of each Crime Type. Each individual is counted once in the crime type data.

Crime Type Examples:

- 01:Fel./Serious:** Aggravated Assault, Aggravated Sexual Assault, Murder
- 02:Fel./Person:** Assault and Robbery, Lewd and Lascivious
- 03:Fel./Property:** Burglary Occupied, Grand Larceny, Arson
- 04:Fel./Drug:** Dealing, Trafficking, Possession and Sale
- 05:Fel./MotorV:** DUI 3 or more
- 06:Fel./Other:** Obstruction of Justice, Fugitive
- 07:Misd./Person:** Domestic Assault, Simple Assault
- 08:Misd./Property:** Unlawful Trespass, Retail Theft
- 09:Misd./Drug:** Possession of drugs
- 10:Misd./MotorV:** Careless and Negligent Operation
- 11:Misd./Other:** Violations Conditions of Release

Vermont Correctional Facilities

See below for a list of Vermont's Correctional Facilities, their location, and capacity.

Chittenden Regional Correctional Facility (CRCF):

Location: South Burlington, VT; **Capacity:** 177

Marble Valley Regional Correctional Facility (MVRCF):

Location: Rutland, VT; **Capacity:** 118

Northeast Correctional Complex (NECC):

Location: St. Johnsbury, VT; **Capacity:** 219

Northern State Correctional Facility (NSCF):

Location: Newport, VT; **Capacity:** 433

Northwest State Correctional Facility (NWSCF):

Location: Swanton, VT; **Capacity:** 255

Southern State Correctional Facility (SSCF):

Location: Springfield, VT; **Capacity:** 377

Tallahatchie County Correctional Facility (TCCF):

Location: Tallahatchie, MS; **Contract Capacity:** 350
Out-of-state facility

Due to lack of in-state capacity, VT DOC transfers VT incarcerated individuals to an out-of-state facility via a contract in accordance with the statutory state contracting process. CoreCivic, which operates TCCF, was the successful bidder in this process; as a result VT incarcerated individuals are sent to this facility.

VT Department of Corrections:

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Risk to Reoffend:

The VT DOC utilizes the Ohio Risk Assessment System (ORAS) to determine an offender's risk to reoffend or commit another crime. This measure is used for individuals being housed in correctional facilities and those under community supervision. The department uses the following instruments:

Prison Intake Tool (PIT):

Provided to all incarcerated individuals sentenced to a year or longer in a correctional facility. Informs risk reduction programming (RRP) eligibility. 4 levels of risk, with scores varying by gender: Low, Moderate, High, Very High

Community Supervision Tool (CST):

Administered to offenders under community supervision: within 2 weeks of intake, 3 months after release from incarceration to community supervision, and/or annually and/or upon significant changes in community status. 4 levels of risk, with scores varying by gender: Low, Moderate, High, Very High

Reentry Tools:

Administered to incarcerated individuals sentenced to a year or longer. Provided within 6-8 weeks before being released to the community. 3 levels of risk, with scores varying by gender: Low, Moderate, High

Violent Crime Status:

Offenders convicted of one or more statutorily listed offenses outlined in 13 VSA §5301 are deemed to have committed a violent crime, referred to as "listed" in our system. Examples of violent (or listed) crimes include but are not limited to: murder, aggravated assault, sexual assault, burglary, driving under the influence, sale or delivery of illicit drugs. This report uses the "listed" crimes as proxy for violent crimes.

Due to the nature of these crimes, special consideration toward victims is required in release decision making. Committing a violent crime does not always directly correlate with an offender's risk to reoffend.

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Incarcerating Individuals Past Minimum Release Reasons:

Convicted offenders are sentenced to serve a minimum and maximum term by the court for each crime they commit. Individuals may be housed past their minimum release date but must be released by their maximum release date. Individuals with a "sentenced only" booking status (without detained, hold status) are eligible for release by the authority of the Commissioner of Corrections. All other booking statuses require action by another authority (such as the court) for release. See below for explanations/examples of reasons why someone might be housed past their minimum release date.

Furlough Violation/Inappropriate for Furlough: Returned from community supervision for a fixed length of time due to a technical violation, or "interrupting" release to furlough due to individual's behavior/risk to community

Graduated Sanction: Short term incarceration in response to technical violations that allow for continuation in completing community sentence

Hold for US Marshals/Other State: Held in VT facilities until under appropriate federal/state jurisdiction

Incomplete Programming: Required programming in progress, pending start, or termination/suspension due to behavior issues

Lack of Housing: No appropriate housing upon entry into the community

Pending Other Charge(s): Ex: Violation of supervision that results in new charge

Refusing Furlough/Mandated Services: Refusing services required by sentence or furlough placement

Scheduled for Release at Max Date: Due to concerns for safety of community, scheduled to release at maximum end date

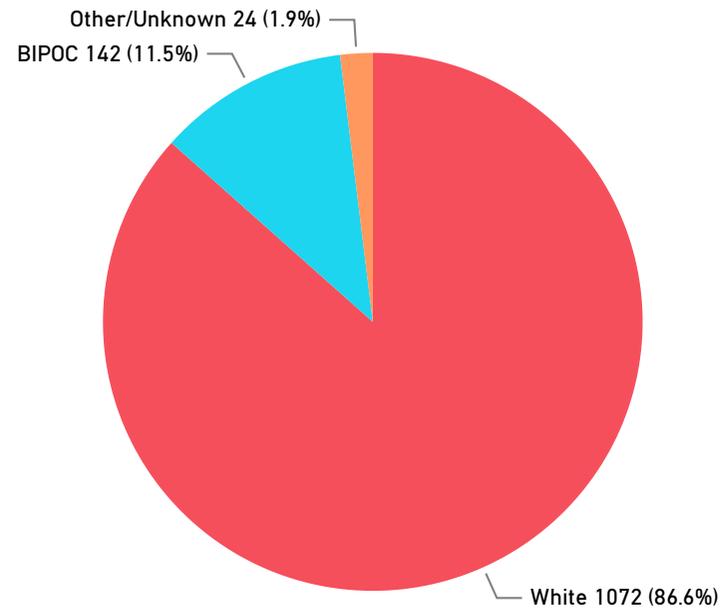
Violent Crime/Inappropriate for Release: Offenders who committed violent crime(s) and are unsafe for release to community

VT Department of Corrections: SFI-Designation Report

Population Data

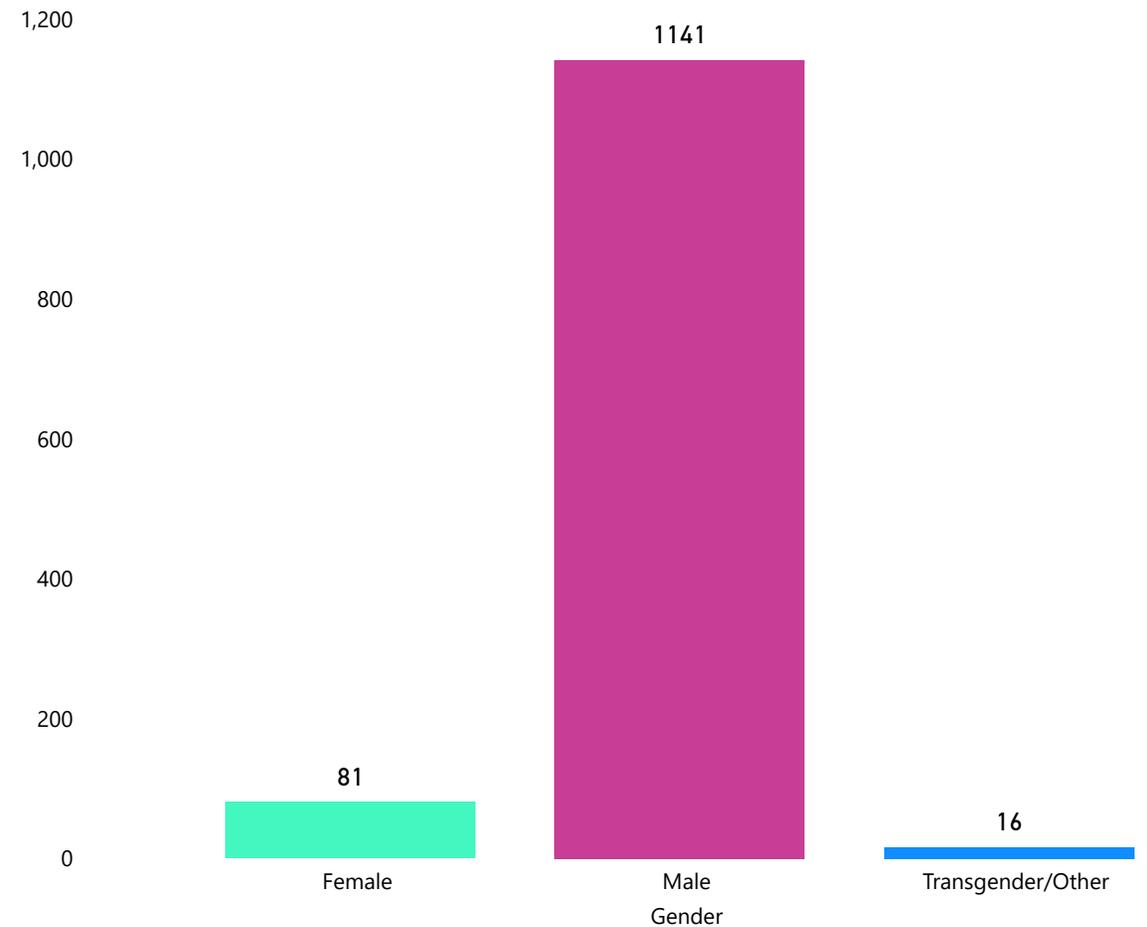
VT DOC SFI-Designation Report: Population Data 3/31/2021*

Incarcerated Population by Race (N=1,238)



1,070 VT in-state population
168 VT out-of-state population
1,238 Total VT incarcerated population

Incarcerated Population by Gender (N=1,238)



*Note: Daily Counts are accurate as of 10pm on the date listed

VT DOC SFI-Designation Report: Population Data 3/31/2021*

1238

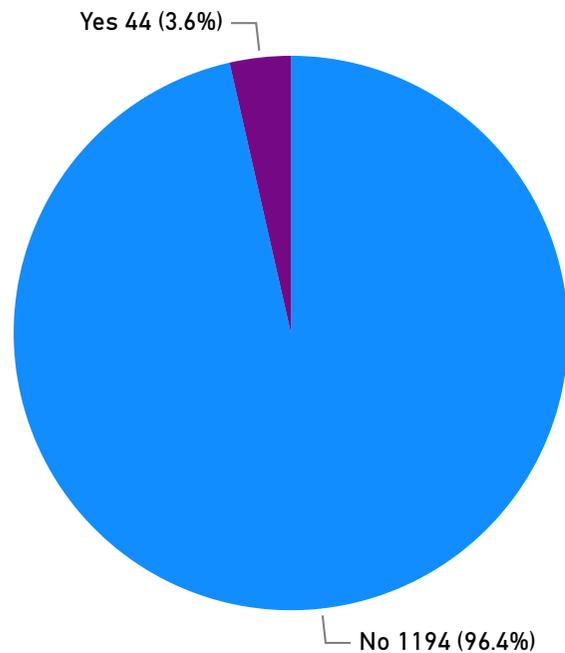
Incarcerated Population

44

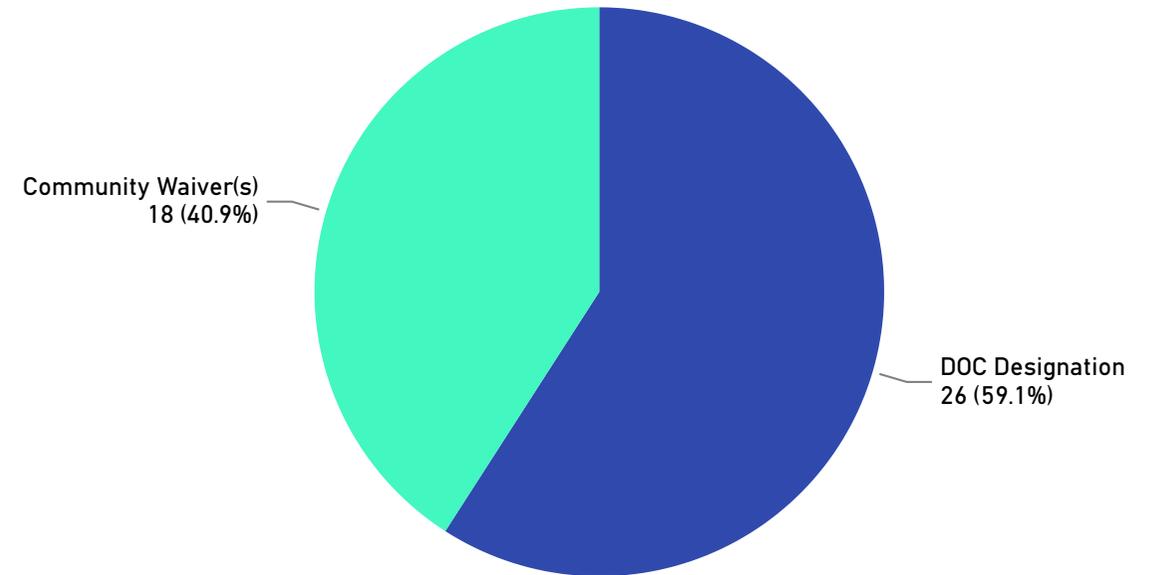
Individuals Were SFI-Designated this Month

Incarcerated Population by SFI-Designation (N=1,238)

SFI-Designation? ● No ● Yes

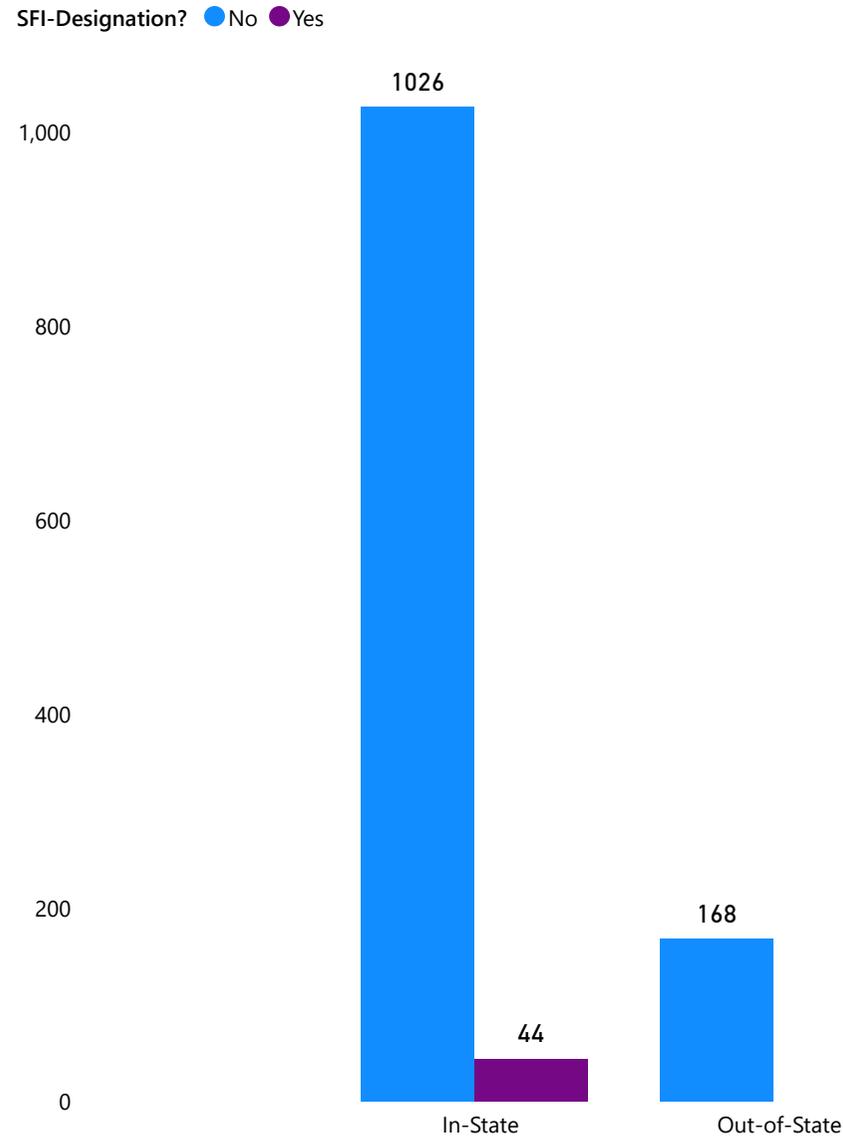


SFI-Designated Only: Designation Process (N=44)



*Note: Daily Counts are accurate as of 10pm on the date listed

Correctional Facility Location by SFI-Designation (N=1,238)



VT DOC SFI-Designation Report: Population Data 3/31/2021*

Incarcerated Population: Correctional Facility by SFI-Designation (N=1,238)

SFI-Designation? Facility	No		Yes		Total	
	Count	%	Count	%	Count	%
CRCF, MVRCF, NECC, NSCF, NWSCF	794	66.5%	15	34.1%	809	65.3%
SSCF	232	19.4%	29	65.9%	261	21.1%
TCCF	168	14.1%			168	13.6%
Total	1194	100.0%	44	100.0%	1238	100.0%

DOC Correctional Facilities Key:

- CRCF = Chittenden Regional Correctional Facility;
- MVRCF = Marble Valley Regional Correctional Facility;
- NECC = Northeast Correctional Complex;
- NSCF = Northern State Correctional Facility;
- NWSCF = Northwest State Correctional Facility;
- SSCF = Southern State Correctional Facility
- TCCF = Tallahatchie County Correctional Facility (Mississippi)

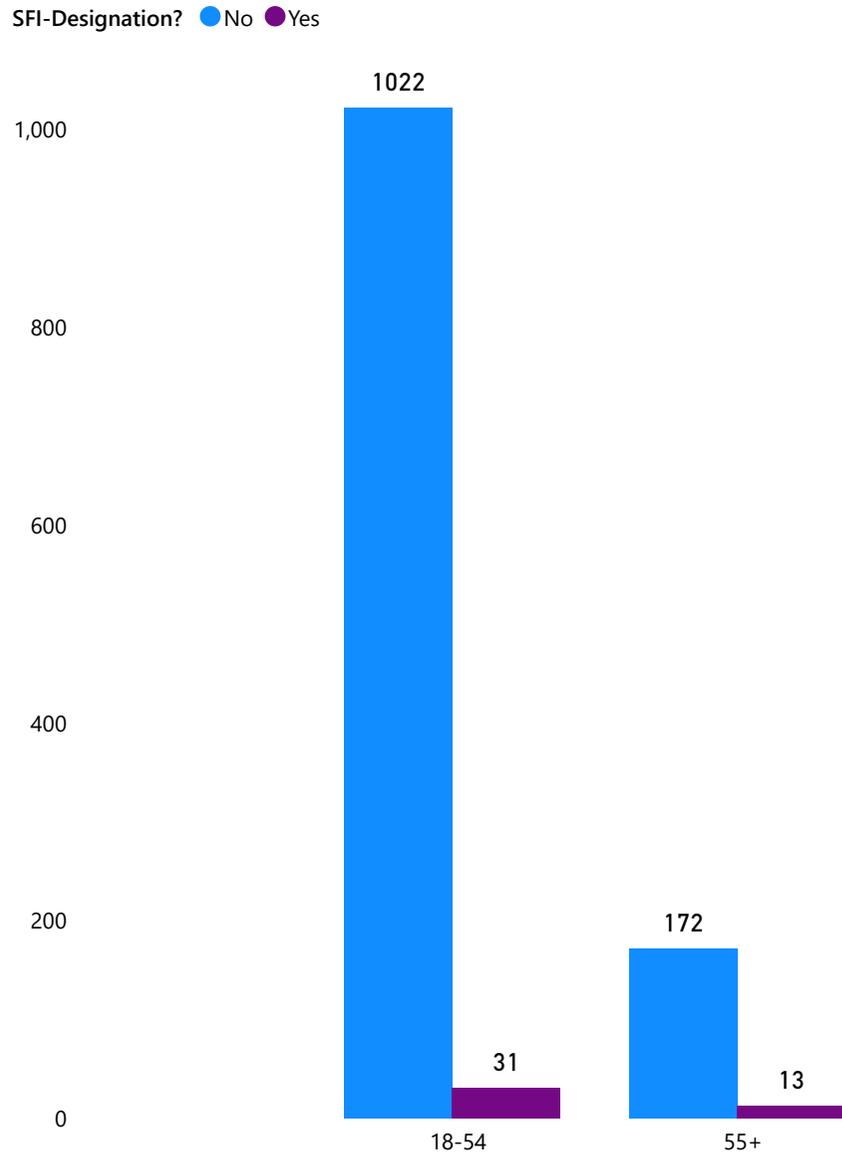
*Note: Daily Counts are accurate as of 10pm on the date listed

Due to lack of in-state capacity, VT DOC transfers VT incarcerated individuals to an out-of-state facility via a contract in accordance with the statutory state contracting process. CoreCivic, which operates TCCF, was the successful bidder in this process; as a result VT incarcerated individuals are sent to this facility. Prior to being sent out of state, the VT DOC conducts a comprehensive physical, mental and substance use disorder screening and assessment of each potential out-of-state candidate. Those, who by statute are designated as SFI are not eligible to be sent out-of-state. Similarly, if an out-of-state incarcerated individual's clinical and functional status deteriorates such that they would be designated as SFI were they in VT, they will be transferred back to a VT in-state correctional facility.

VT DOC SFI-Designation Report:

Population Data 3/31/2021*

Incarcerated Population: Age by SFI-Designation (N=1,238)



Incarcerated Population: Booking Status by SFI-Designation (N=1,238)

SFI-Designation? Booking Status	No		Yes		Total	
	Count	%	Count	%	Count	%
Detained or Hold	317	25.6%	18	1.5%	335	27.1%
Sentenced	877	70.8%	26	2.1%	903	72.9%
Total	1194	96.4%	44	3.6%	1238	100.0%

68%

Of individuals with an SFI-designation were incarcerated for a Serious Felony

Booking Statuses: *Detained* = awaiting conviction for crime(s); *Detained W/Hold* = awaiting conviction for other crime(s) and held for US Marshals or other jurisdiction; *Hold*: being held for US Marshals or other jurisdiction.; *Sentenced* = convicted of crime(s); *Sentenced/Detained* = convicted of crime(s) and held pre-trial for other crime(s); *Sentenced W/Hold* = convicted of crime(s) and held for US Marshals or other jurisdiction; *Sentenced/Detained W/Hold (rare)* = convicted of crime(s), held pre-trial for other crime(s), and held for US Marshals or other jurisdiction.

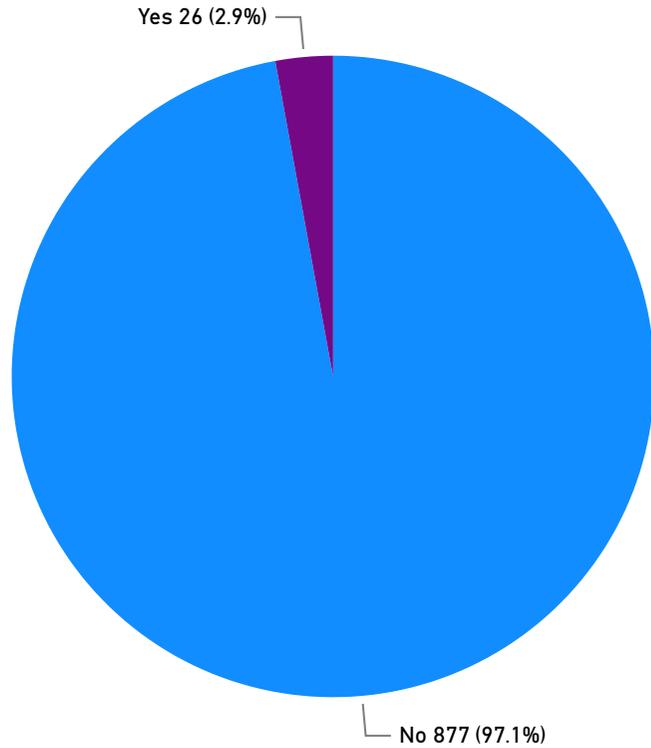
*Note: Daily Counts are accurate as of 10pm on the date listed

VT Department of Corrections:
SFI-Designation Report

Violent Crime & Risk to Reoffend Data

Sentenced Population: SFI-Designation (N=903)

SFI-Designation? ● No ● Yes



903

Total Sentenced Population

This includes:

- 781 Sentenced only population
- 77 Sentenced & Detained for other crime(s)
- 42 Sentenced w/Hold for US Marshals/Other State
- 3 Sentenced & Detained w/Hold

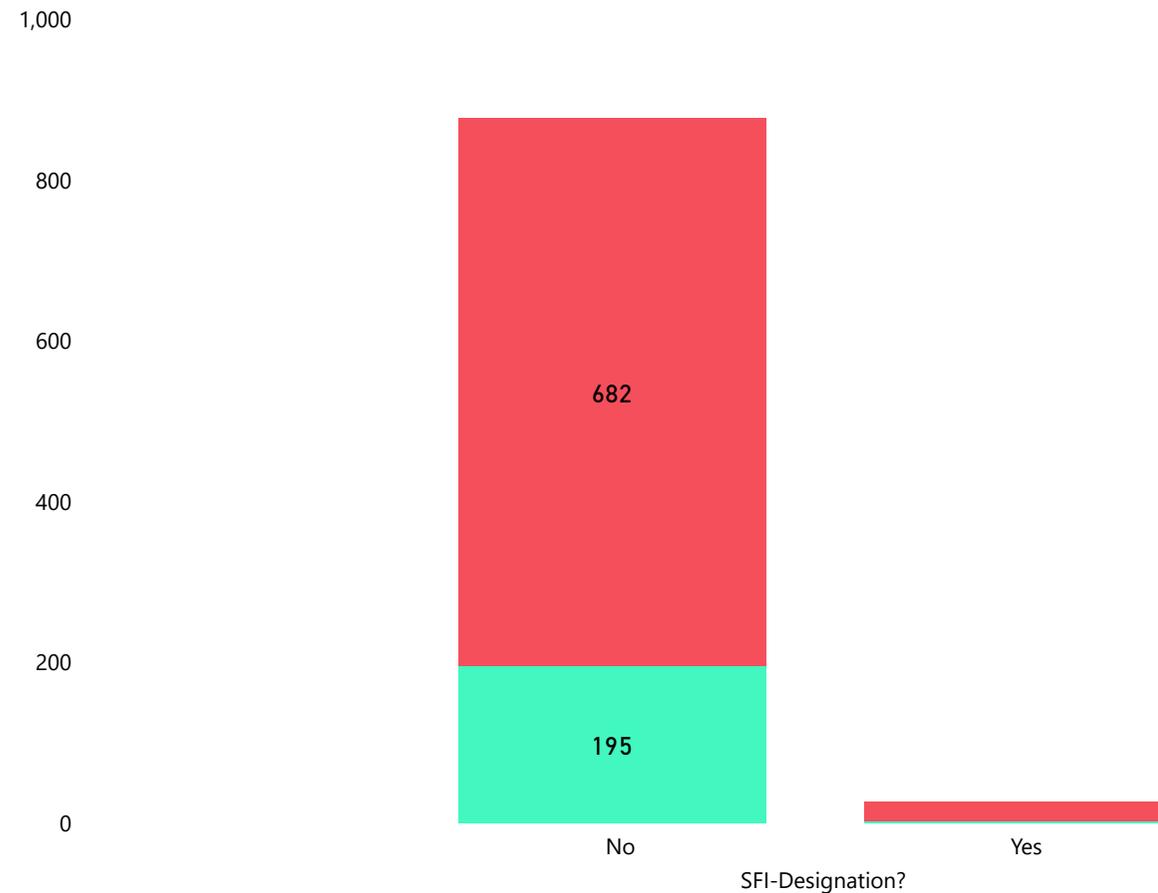
VT DOC SFI-Designation Report:

Sentenced Population- Violent Crime Data

3/31/2021*

Sentenced Population: Violent Crime Status by SFI-Designation (N=903)

Violent Crime Categorization ● Non-Violent Crime ● Violent Crime



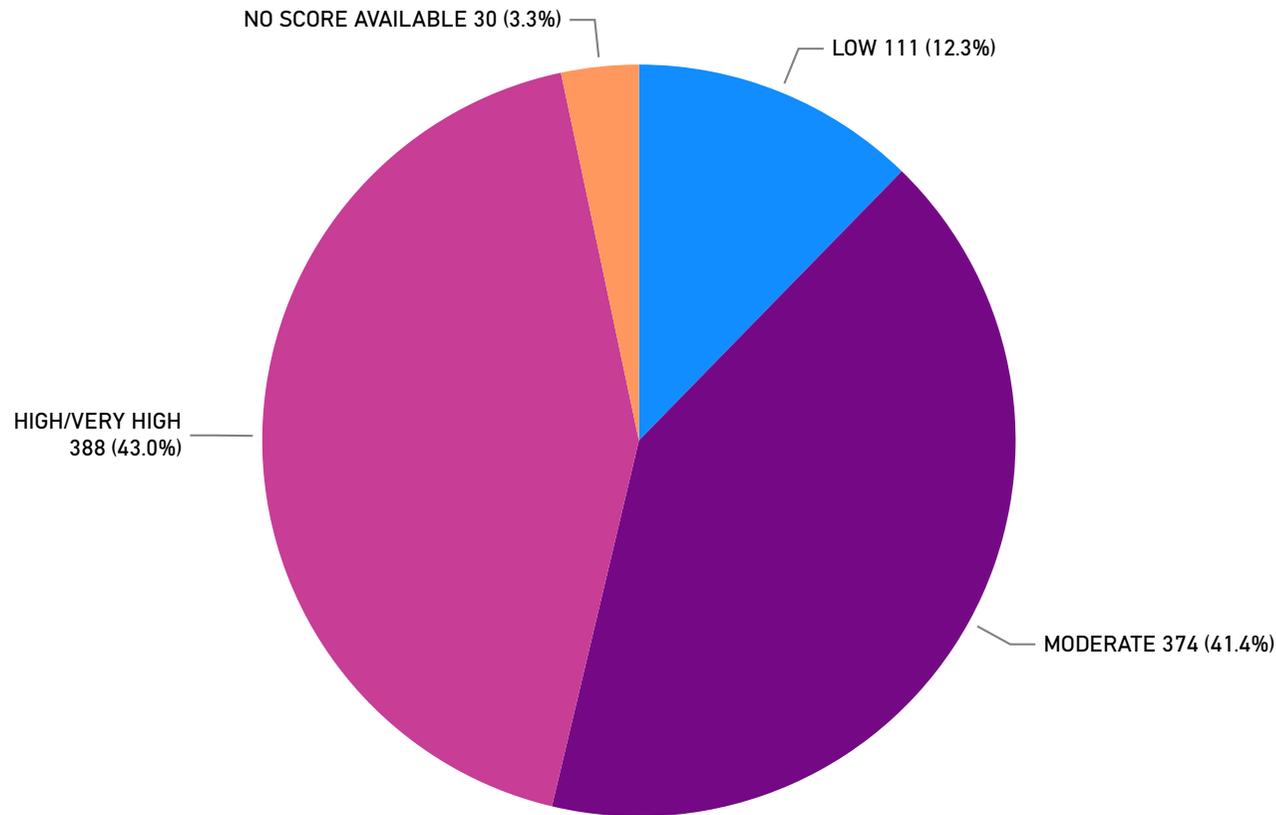
*Note: Daily Counts are accurate as of 10pm on the date listed

**Violent Crime information is provided for the offender's most violent crime committed. See page 5 for more detailed violent crime information.

VT DOC SFI-Designation Report:

Sentenced Population- Violent Crime Data 3/31/2021*

Sentenced Population: Risk to Reoffend (N=903)



63%

Of *sentenced* individuals with an SFI-designation have a High/Very High Risk to Reoffend Score

*Note: Daily Counts are accurate as of 10pm on the date listed

**Risk to Reoffend information includes sentenced only individuals who have a risk assessment completed for their current arrest. *High* and *Very High* categories were combined for cohesion across risk assessment tools. Individuals with No Score either do not qualify to be tested or do not yet have one due to the timing of their incarceration. See page 5 for a description of Risk to Reoffend measures utilized by the DOC.

VT Department of Corrections: SFI-Designation Report

Minimum Release Data

This section of the report is not currently available due to the low number of sentenced only SFI-designated individuals currently being held past their minimum release date.